

This notice in TED website: <https://ted.europa.eu/udl?uri=TED:NOTICE:570503-2022:TEXT:EN:HTML>

**Germany-Frankfurt am Main: Provision of Audit and Investigative Services (Lots 1–3)
2022/S 201-570503**

Contract notice

Services

Legal Basis:

Directive 2014/24/EU

Section I: Contracting authority

I.1) Name and addresses

Official name: European Central Bank
National registration number: PRO-008295
Postal address: Sonnemannstrasse 22
Town: Frankfurt am Main
NUTS code: DE712 Frankfurt am Main, Kreisfreie Stadt
Postal code: 60314
Country: Germany
Contact person: Ms Uta Elbers-Kesten
E-mail: procurement@ecb.europa.eu
Telephone: +49 69/13440
Fax: +49 69/13447110

Internet address(es):

Main address: <http://www.ecb.europa.eu>

I.3) Communication

The procurement documents are available for unrestricted and full direct access, free of charge, at: <https://www.ecb.europa.eu/ecb/jobsproc/sourcing/html/index.en.html>
Additional information can be obtained from the abovementioned address
Tenders or requests to participate must be submitted electronically via: <http://www.ecb.europa.eu/ecb/jobsproc/sourcing/html/index.en.html>
Tenders or requests to participate must be submitted to the abovementioned address

I.4) Type of the contracting authority

European institution/agency or international organisation

I.5) Main activity

Economic and financial affairs

Section II: Object

II.1) Scope of the procurement

II.1.1) Title:

Provision of Audit and Investigative Services (Lots 1–3)
Reference number: PRO-008295

II.1.2) Main CPV code

79000000 Business services: law, marketing, consulting, recruitment, printing and security

II.1.3) Type of contract

Services

- II.1.4) **Short description:**
Provision of audit and investigative services, namely general process audits (lot 1) and provision of investigative services, collecting and assessing facts on possible breaches of professional duties under, in particular, the ECB's ethics framework (legal assessment (lot 2) and technical assessment (lot 3)).
- II.1.5) **Estimated total value**
Value excluding VAT: 1 500 000.00 EUR
- II.1.6) **Information about lots**
This contract is divided into lots: yes
Tenders may be submitted for all lots
- II.2) **Description**
- II.2.1) **Title:**
General Process Audits (Lot 1)
Lot No: 1
- II.2.2) **Additional CPV code(s)**
79200000 Accounting, auditing and fiscal services
- II.2.3) **Place of performance**
NUTS code: DE712 Frankfurt am Main, Kreisfreie Stadt
Main site or place of performance:
On the ECB's premises, remotely or a combination of both.
- II.2.4) **Description of the procurement:**
Evaluating and improving the effectiveness and efficiency of risk management, control and governance processes in support of selected audit missions and methodologies in various areas of competence, as specified further in the procurement documents.
- II.2.5) **Award criteria**
Price is not the only award criterion and all criteria are stated only in the procurement documents
- II.2.6) **Estimated value**
Value excluding VAT: 800 000.00 EUR
- II.2.7) **Duration of the contract, framework agreement or dynamic purchasing system**
Duration in months: 48
This contract is subject to renewal: no
- II.2.9) **Information about the limits on the number of candidates to be invited**
Envisaged number of candidates: 5
Objective criteria for choosing the limited number of candidates:
Under this lot 1, the ECB intends to invite five candidates to submit a tender provided that a sufficient number of candidates meeting the selection criteria is available.
- II.2.10) **Information about variants**
Variants will be accepted: no
- II.2.11) **Information about options**
Options: no
- II.2.13) **Information about European Union funds**
The procurement is related to a project and/or programme financed by European Union funds: no
- II.2.14) **Additional information**

The maximum value of this lot 1 is EUR 960 000,00.

II.2) **Description**

II.2.1) **Title:**

Investigative Services (Legal Competence) (Lot 2)
Lot No: 2

II.2.2) **Additional CPV code(s)**

79700000 Investigation and security services

II.2.3) **Place of performance**

NUTS code: DE712 Frankfurt am Main, Kreisfreie Stadt
Main site or place of performance:
On the ECB's premises, remotely or a combination of both.

II.2.4) **Description of the procurement:**

Collecting and assessing facts on possible breaches of professional duties under, in particular, the ECB's ethics framework (legal competence).

II.2.5) **Award criteria**

Price is not the only award criterion and all criteria are stated only in the procurement documents

II.2.6) **Estimated value**

Value excluding VAT: 350 000.00 EUR

II.2.7) **Duration of the contract, framework agreement or dynamic purchasing system**

Duration in months: 48
This contract is subject to renewal: no

II.2.9) **Information about the limits on the number of candidates to be invited**

Envisaged number of candidates: 5
Objective criteria for choosing the limited number of candidates:
Under this lot 2, the ECB intends to invite five candidates to submit a tender provided that a sufficient number of candidates meeting the selection criteria is available.

II.2.10) **Information about variants**

Variants will be accepted: no

II.2.11) **Information about options**

Options: no

II.2.13) **Information about European Union funds**

The procurement is related to a project and/or programme financed by European Union funds: no

II.2.14) **Additional information**

The maximum value of this lot 2 is EUR 420 000,00.

II.2) **Description**

II.2.1) **Title:**

Investigative Services (Technical Competence)
Lot No: 3

II.2.2) **Additional CPV code(s)**

79720000 Investigation services

II.2.3) **Place of performance**

NUTS code: DE712 Frankfurt am Main, Kreisfreie Stadt
Main site or place of performance:

On the ECB's premises, remotely or a combination of both.

II.2.4) Description of the procurement:

Under this lot 3, collecting and assessing facts on possible breaches of professional duties (technical competence), as further specified in the procurement documents.

II.2.5) Award criteria

Price is not the only award criterion and all criteria are stated only in the procurement documents

II.2.6) Estimated value

Value excluding VAT: 350 000.00 EUR

II.2.7) Duration of the contract, framework agreement or dynamic purchasing system

Duration in months: 48

This contract is subject to renewal: no

II.2.9) Information about the limits on the number of candidates to be invited

Envisaged number of candidates: 5

Objective criteria for choosing the limited number of candidates:

Under this lot 3, the ECB intends to invite five candidates to submit a tender provided that a sufficient number of candidates meeting the selection criteria is available.

II.2.10) Information about variants

Variants will be accepted: no

II.2.11) Information about options

Options: no

II.2.13) Information about European Union funds

The procurement is related to a project and/or programme financed by European Union funds: no

II.2.14) Additional information

The maximum value of this lot 3 is EUR 420 000,00.

Section III: Legal, economic, financial and technical information

III.1) Conditions for participation

III.1.1) Suitability to pursue the professional activity, including requirements relating to enrolment on professional or trade registers

List and brief description of conditions:

As stated in the procurement documents.

III.1.2) Economic and financial standing

Selection criteria as stated in the procurement documents

III.1.3) Technical and professional ability

Selection criteria as stated in the procurement documents

III.2) Conditions related to the contract

III.2.1) Information about a particular profession

Execution of the service is reserved to a particular profession

Reference to the relevant law, regulation or administrative provision:

As indicated in the procurement documentation.

III.2.2) Contract performance conditions:

In line with the terms and conditions in the draft contract per lot. See details in the procurement documents.

Section IV: Procedure

IV.1) Description

- IV.1.1) **Type of procedure**
Competitive procedure with negotiation
- IV.1.3) **Information about a framework agreement or a dynamic purchasing system**
The procurement involves the establishment of a framework agreement
Framework agreement with a single operator
- IV.1.4) **Information about reduction of the number of solutions or tenders during negotiation or dialogue**
Recourse to staged procedure to gradually reduce the number of solutions to be discussed or tenders to be negotiated
- IV.1.5) **Information about negotiation**
The contracting authority reserves the right to award the contract on the basis of the initial tenders without conducting negotiations
- IV.1.8) **Information about the Government Procurement Agreement (GPA)**
The procurement is covered by the Government Procurement Agreement: no
- IV.2) **Administrative information**
- IV.2.2) **Time limit for receipt of tenders or requests to participate**
Date: 14/11/2022
Local time: 12:00
- IV.2.3) **Estimated date of dispatch of invitations to tender or to participate to selected candidates**
- IV.2.4) **Languages in which tenders or requests to participate may be submitted:**
English
- IV.2.6) **Minimum time frame during which the tenderer must maintain the tender**
Duration in months: 6 (from the date stated for receipt of tender)

Section VI: Complementary information

- VI.1) **Information about recurrence**
This is a recurrent procurement: no
- VI.2) **Information about electronic workflows**
Electronic invoicing will be accepted
- VI.3) **Additional information:**

This tender procedure is conducted via the ECB's electronic tendering system (e-tendering) which can be accessed via the following internet address:

<http://www.ecb.europa.eu/ecb/jobsproc/sourcing/html/index.en.html>

If you have not used the ECB's electronic tendering system before, you need to register via the above link (click on 'Register'). Subsequently to the registration, you will receive an automated notification with your user credentials to log in (click on 'Login') and to access the tender documentation. Please note that:

— A registration to the above system is only required once and you can reuse your user credentials in all future procedures of the ECB which are conducted via the above system. Therefore, please keep your user credentials for the future;

— In case you should have participated in previous ECB tender procedure(s) in the past which were conducted via the ECB's prior online system, you nevertheless need to register once to the ECB's electronic tendering system under the above address;

— Your mere registration does not constitute an application/tender. You must submit your application/tender via the ECB's electronic tendering system as further instructed in the application/tender documentation.

The system reference numbers for the above lots under this procurement are:

- Lot 1: RFX-PT-2022-000081: PRO-008295-L1R1-Audit and investigative
- Lot 2: RFX-PT-2022-000082: PRO-008295-L2R1-Audit and investigative
- Lot 3: RFX-PT-2022-000083: PRO-008295-L3R1-Audit and investigative

To download the tender documents, log on to the ECB's electronic tendering system with your login and password. Expand the field 'Public Tenders – Upcoming Events'. Click on 'Click to request an invitation to this event' for the above procedure and close the new window which opens. Once the request is completed (this can take a few minutes), the status of the participation request will change to 'Invited' and the RFX documentation will be accessible via the RFX tab.

Under the above link you also find user guides for detailed information on how to use the system and contact details for technical support.

Should you require technical help when using the system, please contact the ECB's technical support team at sourcing.support@ecb.europa.eu by quoting the reference number and the problem experienced.

Any other queries relating to this procurement procedure and the content of the procurement documentation shall be submitted as message via the ECB's e-tendering system (under the tab 'Discussion').

The ECB endeavours to answer all queries as quickly as possible but cannot guarantee a minimum time response. The ECB shall not be bound to reply to queries received less than seven calendar days before the time-limit for the submission of tenders.

The tender procedure shall be open on equal terms to all natural or legal persons resident or located in the European Union and to all natural and legal persons resident or located in a country which has ratified the World Trade Organisation Agreement on Government Procurement or has concluded with the European Union a bilateral agreement on procurement under the conditions laid down in the said agreements.

The tender procedure is conducted in accordance with Decision ECB/2016/2 laying down the Rules on Procurement (as amended), available at

[https://eur-lex.europa.eu/search.html?](https://eur-lex.europa.eu/search.html?CT_CODED=c_40b2d24f&SUBDOM_INIT=ECB_ACTS&scope=ECB_ACTS&DTS_SUBDOM=ECB_ACTS&DTS_DOM)

[CT_CODED=c_40b2d24f&SUBDOM_INIT=ECB_ACTS&scope=ECB_ACTS&DTS_SUBDOM=ECB_ACTS&DTS_DOM](https://eur-lex.europa.eu/search.html?CT_CODED=c_40b2d24f&SUBDOM_INIT=ECB_ACTS&scope=ECB_ACTS&DTS_SUBDOM=ECB_ACTS&DTS_DOM)

And in EUR-Lex:

<https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:02016D0002-20200501>.

VI.4) **Procedures for review**

VI.4.1) **Review body**

Official name: Procurement Review Body of the European Central Bank, c/o Legal Services Team
Postal address: Sonnemannstrasse 22
Town: Frankfurt am Main
Postal code: 60314
Country: Germany
E-mail: LegalServices@ecb.europa.eu
Telephone: +49 6913440
Fax: +49 6913446886
Internet address: <http://www.ecb.europa.eu>

VI.4.2) **Body responsible for mediation procedures**

Official name: The European Ombudsman
Postal address: 1 avenue du Président Robert Schuman, CS 30403
Town: Strasbourg Cedex
Postal code: 67001
Country: France

VI.4.3) **Review procedure**

Precise information on deadline(s) for review procedures:
Within 10 days of receipt of the notification in accordance with Article 34(1) or the first sentence of Article 34(3) of Decision ECB/2016/2 laying down the Rules on Procurement, as amended. Further requirements are outlined in Article 39 of this Decision. A complaint to the European Ombudsman does not affect the deadline for lodging appeals.

VI.4.4) **Service from which information about the review procedure may be obtained**

Official name: Central Procurement Office of the European Central Bank c/o Legal Services Team
Postal address: Sonnemannstrasse 22
Town: Frankfurt am Main
Postal code: 60314
Country: Germany
E-mail: procurement@ecb.europa.eu
Telephone: +49 6913440
Fax: +49 6913447110
Internet address: <http://www.ecb.europa.eu>

VI.5) **Date of dispatch of this notice:**

06/10/2022